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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW.

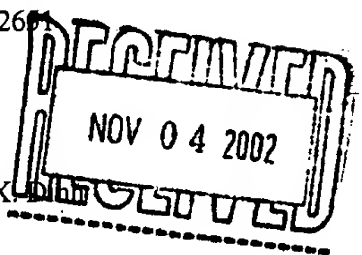
Victorie A Jones

DATE: 10/24/02

**PATENT  
BOX NON-FEE AMENDMENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent Application of Shinya Abe *et al.* : Group Art Unit: 2661  
Conf. No.: 8847 :  
Appln. No.: 09/963,233 : Examiner: Tan X  
Filed: September 25, 2001 :  
For: OPTICAL DISC AND METHOD FOR MANUFACTURING THE OPTICAL DISK : Attorney Docket No. 10407-009US (A1028MT-US1)



**RECEIVED  
OCT 31 2002**

**INFORMATION DISCLOSURE STATEMENT** Technology Center 2600

It is requested that the enclosed reference listed on the attached Information Disclosure Citation Form PTO/SB/08A be considered by the Patent Examiner in connection with the above-identified application and be made of record therein.

**Certification Under 37 C.F.R. § 1.97(e)(1)**

I hereby certify that the enclosed reference was first cited in a Communication dated August 12, 2002 from the Taiwanese Patent Office concerning counterpart Taiwan Application No. 90124087, not more than three months prior to the filing of this Statement.

Independent consideration and acknowledgment of the enclosed reference are respectfully requested.

Respectfully submitted,

**SHINYA ABE et al.**

October 24, 2002  
(Date)

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Enclosures